

Individual

Wealth Management Office :

Ground Floor, Kenanga Tower, 237 Jalan Tun Razak, 50400 Kuala Lumpur

Email: kwm.cs@kenanga.com.my

☐ Individual

☐ Staff

Sub Distributor: _____

This Form should not be circulated unless accompanied by the relevant Product Highlights Sheet(s), Disclosure Document(s) and Supplemental Disclosure Document(s), where applicable. Investor is advised to read and understand the contents of the relevant Product Highlights Sheet(s), Disclosure Document(s) and Supplemental Disclosure Document(s), if any, before completing this Form. Please complete in BLOCK LETTERS only and mark (✓) where applicable.

PARTICULARS OF INDIVIDUAL APPLICANT

You MUST be 18 years and above as at the date of this application. Please provide a copy of your NRIC or Passport.

Name as in NRIC/Passport/Other ID		
Form of Identification	☐ NRIC ☐ Passport ☐ Army/Police ID ☐ Other ID	
Identification No.		Old IC
Passport Expiry Date		
Tax Identification Number	(Applicable for Malaysian Tax Resident Only)	
Date of Birth	- -	Country of Birth
Nationality		Malaysia Resident ☐ Yes ☐ No
Dual Citizenship (if any)		
Permanent / Registered Address (As per NRIC/Passport/Other ID)		
	Postcode	State
	Country	
Mailing / Correspondence Address (If different from above)		
	Postcode	State
	Country	
Country of Residence		
Tel No.	- (home)	- (mobile)
	- (office)	- (Fax No.)
Race	☐ Malay ☐ Chinese ☐ Indian ☐ Others, please specify	
Bumi Status	☐ Bumiputra ☐ Non-Bumiputra Gender ☐ Male ☐ Female	
Marital Status	☐ Single ☐ Married ☐ Divorced ☐ Widowed Number of Dependents (if any)	
Email Address		
Education level	☐ SPM / O Level ☐ STPM / A Level ☐ Diploma ☐ Degree ☐ Postgraduate ☐ Others:	
Employment Status	☐ Salaried (years) ☐ Self-employed (years) ☐ Unemployed ☐ Others:	
	☐ Housewife / Home Maker ☐ Student ☐ Retiree	
Occupation		
Annual Income (Individual)	☐ ≤RM12,000 ☐ RM12,001 - RM30,000 ☐ RM30,001 - RM60,000 ☐ RM60,001 - RM90,000 ☐ RM90,001 - RM120,000 ☐ RM120,001 - RM240,000 ☐ >RM240,000	
Estimate Net Worth	☐ ≤RM50,000 ☐ RM50,001 - RM100,000 ☐ RM100,001 - RM200,000 ☐ RM200,001 - RM500,000 ☐ RM500,001 - RM1 Million ☐ RM1 Million - RM3 Million ☐ >RM3 Million	

Source of Income ☐ Employment ☐ Business ☐ Savings ☐ EPF ☐ Inheritance ☐ Others: _____

☐ Family Gift / Allowance ☐ Investment Income ☐ Rental

Please specify source (e.g. From parents / spouse / etc) _____

Name of Employer / Company _____

Employer's Address _____

Postcode _____ State _____

Country _____

Purpose of Investment ☐ Savings ☐ Retirement ☐ Children Education ☐ Capital Gain ☐ Regular Income ☐ Share Buy Back

☐ Others: _____

POLITICALLY EXPOSED PERSON (PEP) (FOR INDIVIDUAL)

I hereby declare that I am / was:

☐ Not a PEP or associated to a PEP

☐ A PEP or associated to a PEP

Definition

"PEP" means a natural person who is or has been entrusted with "Prominent Public Functions"¹ locally or in a foreign country or an international organization. Immediate family members or "close associates"² of a PEP are also deemed PEPs. I undertake to notify Kenanga Group in writing within 30 days if there is any change in the information which I have provided to Kenanga Group.

No	Name Of Person Who is/ Was a PEP	Position & Designation	Country	Whether PEP Still Holding Position?	If Customer is Associated to a PEP, what is the Customer's Relationship With the PEP?
1					
2					
3					

¹Prominent Public Functions" include the roles held by a head of state or government, government ministers, senior politicians, senior civil servants, senior judicial or military officials, senior executives of state-owned corporations, important political party officials.

²Close associates" include business partners or associates, extended family members (biological & non-biological), close friends, financially dependant individuals and individuals working closely with a PEP, prominent members of the same organization as the PEP.

TERMS AND CONDITIONS

You are advised to read and understand the relevant Disclosure Document(s), Product Highlights Sheet(s) and any other information issued by the relevant PRS Provider(s) in relation to the PRS Fund(s)/Scheme(s), which shall be made available upon request, before making any contribution to the PRS Fund(s)/Scheme(s).

1. MINIMUM INVESTMENT

- Initial and subsequent investments must be for a minimum amount stated in the Fund's Disclosure Document(s), Product Highlights Sheet(s) based on the Fund's closing Net Asset Value/Unit on the day.

2. FIRST INDIVIDUAL APPLICANT

- Applicant must be 18 years old and above.
- Please enclose a photocopy of your identity card or passport.

3. RIGHTS OF KIBB

- Kenanga Investment Bank Berhad ("KIBB") reserves the right to reject any applications without giving any reasons or reject any Fund Application Form which is not completed in full and supported by the requested documents and payments.
- The Applicants's personal data and information shall also be governed by the terms and conditions set out in KIBB's Privacy Policy, as may be amended or supplemented from time to time. For the most current version of the Privacy Policy at all material times, please refer to the posting on KIBB's corporate website or <http://www.kenanga.com.my>. The Applicant is advised to obtain a copy of the Privacy Policy from the servicing consultant or website and read and understand the Privacy Policy before submitting the applications to KIBB.

4. CLIENT SERVICES

- Should you require further information or clarification, please contact us at kwm.cs@kenanga.com.my.

5. NOTICES AND COMMUNICATIONS

- All notices and other communications sent by or to the applicant shall be sent at the risk of the applicant unless due to willful default or negligence of KIBB, KIBB shall not be responsible for any inaccuracy, interruption, error delay or failure in transmission or delivery of any notices via whatever means, or for any equipment failure or malfunction. KIBB shall not be liable for any direct or indirect consequential losses arising from the foregoing. Notices delivered via electronic communications to applicant are deemed sent and received on the date communication is sent.

DECLARATIONS AND SIGNATURES

INDIVIDUAL / JOINT APPLICANT

- I am 18 years and above as at the date of this application. Copy/Copies of my/our NRIC/passport/birth certificate is/are enclosed.
- I do declare and represent that as at the date hereof, I am not an undischarged bankrupt nor has any petition for bankruptcy been filed against me.

WITH THE COMPLETION AND EXECUTION OF THIS FORM , I/WE, THE ABOVENAMED UNITHOLDER(S), AGREE THAT ALL ELECTRONIC INSTRUCTIONS, ELECTRONIC COMMUNICATIONS, OR ELECTRONICALLY TRANSMITTED INSTRUCTIONS ("EIC") ARE CONCLUSIVE AND IRREVOCABLE AS TO ITS TERMS AND CONTENTS. I/WE FURTHER ACKNOWLEDGE AND ACCEPT THAT KIBB:

- (a) IS HEREBY AUTHORISED AND HAS ABSOLUTE DISCRETION TO RELY ON EIC(S) FROM ME/US FOR MY/OUR SUBSEQUENT TRANSACTIONS WITH KIBB;
- (b) SHALL NOT BE RESPONSIBLE OR INCUR ANY LIABILITY(IES) TO ME/US ARISING OUT OF OR IN CONNECTION WITH KIBB ACTING IN ACCORDANCE WITH THE EIC(S), NOTWITHSTANDING ANY ERROR, MISUNDERSTANDING, FRAUD OR LACK OF CLARITY IN THE TERMS OF SUCH INSTRUCTION(S), AND WHETHER OR NOT SUCH EIC(S) WAS/WERE MADE OR GIVEN WITH OR WITHOUT THE AUTHORISATION OF THE ABOVENAMED UNITHOLDER(S); AND
- (c) I/WE FURTHER UNDERTAKE TO INDEMNIFY AND HOLD HARMLESS KIBB, ITS EMPLOYEES AND ITS UNIT TRUST CONSULTANTS AGAINST ALL COSTS, EXPENSES, LOSSES, LIABILITIES, CLAIMS AND DEMANDS ARISING OUT OF (WHETHER DIRECTLY OR INDIRECTLY OR IN CONNECTION WITH) KIBB HAVING ACTED ON THE EIC(S). CANCELLATION OF REDEMPTION IS NOT ALLOWED ONCE THE REQUEST HAS BEEN FORWARDED TO KIBB.

DECLARATION BY INDIVIDUAL

- I undertake to provide KIBB with all information and documents as may be required for the purpose of opening and maintaining my PRS account and for complying with any applicable legal, regulatory or operational requirements.
- I have been informed and hereby fully understand the associated product risk and returns, and the PRS Fund(s)/Scheme(s) selected may be of a higher risk than my profiled risk taking ability. I have decided to contribute to the PRS Fund(s)/Scheme(s) selected on this date at my discretion.
- I acknowledge that I shall keep KIBB informed of any change to the information provided and shall notify KIBB within thirty (30) days of such change.
- I agree that my information and/or documents relating to me, including any changes or updates thereto as and when provided by me, may be disclosed or made available by KIBB, including but not limited to its employees, agents, advisers, third party service providers, custodians, sub-custodians and their agents or advisers, companies within the Kenanga Group, Bursa Malaysia Securities Berhad, Bursa Malaysia Derivatives Berhad, Bursa Malaysia Depository Sdn Bhd, Bursa Malaysia Clearing Sdn Bhd, the Securities Commission Malaysia, relevant foreign exchanges and any relevant regulators, governmental authorities or statutory bodies, where required for business, operational, legal, regulatory or compliance purposes.
- I hereby give consent to the PRS Provider to disclose my account information to KIBB and my servicing PRS Consultant(s) for the purpose of providing ongoing services.
- I hereby authorise KIBB to disclose and share all information in my PRS Scheme Account to my employer who have made contribution(s) for me.
- I shall not hold KIBB, its subsidiaries or affiliates, or any of their respective directors, officers, employees or consultants responsible or liable for disclosure of such information referred to above howsoever arising including but not limited to by reason of any misstatement, error, negligence, omission, delay or any matter in connection thereto.
- KIBB and its consultants shall disclaim all liabilities, and neither KIBB nor any of its consultants shall be liable for any direct, indirect, special or consequential damages which may be suffered by me, such as, but not limited to, loss of anticipated profits or other anticipated economic benefits, whatsoever or howsoever caused, whether in contract or in tort (which includes but is not limited to negligence), arising directly or indirectly in connection with any statements or information in the Disclosure Document(s), Product Highlights Sheet(s) and any information produced by the PRS Providers of the PRS Fund(s)/Scheme(s).
- I hereby agree and irrevocably undertake to fully and completely indemnify KIBB, at all times and against all claims, demands, actions, suits, proceedings, losses, penalties, costs and expenses, whether arising directly or indirectly, which may be made or taken against KIBB or incurred or suffered by KIBB in connection with or in any manner out of KIBB acting or on my/our instruction(s) or consent(s) as stated above and any inaccuracy(ies) of the instruction(s) provided and declarations herein.
- I acknowledge that the PRS consultant servicing me/us has a VALID authorisation with the Federation of Investment Managers Malaysia (FIMM).
- I declare that I am in compliance and undertake that I will comply with all applicable laws and regulations.
- I further declare that I am neither engaged in any unlawful activity nor are my monies obtained from any illegal source or related to any illegal activity.
- I hereby declare and acknowledge that I have sole legal and proprietary right over all monies for the purpose of due diligence/enhanced due diligence as required under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 ("AMLA").
- I am not subject to any sanctions administered by the United Nations Security Council, OFAC, HM Treasury, the European Union or any applicable authority.
- I undertake to provide such information and documents as KIBB may reasonably require for the purpose of due diligence/enhanced due diligence as required under the AMLA.
- I hereby acknowledge that KIBB has the right to reject an application at its absolute discretion without any reasons.

APPLICANT'S ACKNOWLEDGEMENT AND SIGNING INSTRUCTION

I acknowledge that I have received, read and understood the relevant Product Highlights Sheet(s), Disclosure Document(s) and Supplemental Disclosure Document(s), where applicable, the Terms and Conditions of this Form, KIBB's Common Terms and Conditions, Privacy Policy and the terms of any facility offered by KIBB in relation to my PRS membership, and I agree to be bound by them. I further acknowledge that the latest version of KIBB's Common Terms and Conditions, Privacy Policy and the terms of any facility offered by KIBB are available on KIBB's corporate website at www.kenanga.com.my.

Applicant's Signature
Name
Date

DECLARATION BY PRS CONSULTANT

- I agree to abide by all the relevant policies of Kenanga Investment Bank Berhad ("KIBB") and applicable laws including amendments as may be in force from time to time.
- I hereby confirm that I have sighted the original personal identity documents (i.e. either NRIC or passport) of the Applicant and I have completed the verification of the identity of the Applicant and all details provided in this application correspond with my records, and agree to make such relevant documentation available to KIBB on request.
- I hereby confirm that I witnessed the signature of the Applicant and I confirm that the particulars of the Applicant stated herein to be true and correct.

Note:

You are required to verify the identities and address of all parties and have adequate records to demonstrate that fact under the local Anti-Money Laundering and Anti-Terrorism Financing Laws, Guidelines and/or Regulations. You should ensure that the names and address of the Applicant are accurate and complete and in accordance with the relevant records.

Branch Code _____ Name / Stamp of PRSC _____ Code of PRSC _____ Date _____

FOR OFFICE USE ONLY

Processed By & Date _____ Checked By & Date _____